Docket No.: ALD-0001D2C2 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Larry WILLIAMS, Michael F. YOUNG and Hunter V. JONES

Continuation of Serial No. 10/251,756

Filed: March 30, 2004

For: LAMP MONITORING AND CONTROL UNIT AND METHOD

## **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

- X 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
  - b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

- c. Attached is our check no. \_\_\_\_\_ in the amount of \$240.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our check no. \_\_\_\_ in the amount of \$130.00 in payment of the fee under 37 C.F.R. 1.17(i)(1). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
  - a. I hereby state that each item of information contained in this Information
    Disclosure Statement was cited in a communication from a foreign patent office in a
    counterpart foreign application not more than three months prior to the filing of this
    Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
  - b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- 4. Copies of the references were cited by and/or submitted to the Office in parent application No. 10/251,756, filed September 23, 2002, parent application No. 09/501,274, filed February 9, 2000, parent application No. 08/838,302, filed April 16, 1997, or parent application No. 09/605,027 filed June 28, 2000 which are relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 C.F.R. §1.98(d).
- X 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted, FLESHNER & KIM, LLP

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## LIST OF PRIOR ART CITED BY **APPLICANT**

(PTO-1449)

ATTY. DOCKET NO. ALD-1D2C2

APPLN./SERIAL NO. **Continuation of** Serial No. 10/251,756

APPLICANT(S)

Larry Williams, Michael F. Young and **Hunter V. Jones** 

			FILING DATE March 30, 20	GROUP Unassig		ned	
U.S. PATEN EXAMINER'S	FDOCUMENTS						-4
INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS		ING ATE
	4,479,246	10/23/94	Young				
	3,828,334	08/06/74	Wallace	340	251	04/1	3/73
	4,718,079	01/05/88	Rabito	379	2	06/3	0/86
	4,614,945	09/30/86	Brunius, et. al.	340	870.03	02/20	0/85
	4,808,982	02/28/89	Knapp	340	641	08/0	1/86
	4,810,936	03/07/89	Nuckolls, et. al.	315	119	12/0	
	4,939,505	07/03/90	Cappellini, et. al.	340	642	07/18	
	5,095,502	03/10/92	Finzel	379	40	12/0	
	5,397,963	03/14/95	Manson	315	129	09/02	
	5,194,860	03/16/93	Jones, et. al.	340	370.02	11/15	
	5,479,159	12/26/95	Kelly, et. al.	340	825.08	02/28	
	3,584,222	06/08/71	Nesbitt	250	214	01/23	
	3,727,063	04/10/73	Turner	250	206	11/23	
	3,900,763	08/19/75	Turner	315	156	05/09/74	
	4,008,415	02/15/77	De Avila-Serafin, et. al.	315	156	12/11/74	
	4,665,321	05/12/87	Chang, et. al.	307	10	08/14/85	
	5,132,596	07/21/92	Walters, et. al.	315	159	09/18/91	
	5,195,016	03/16/93	Powers	361	175	06/25/92	
		FOR	EIGN PATENT DOCUMENTS	Sometimes of the second		11.00	
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation Yes No	
	OTHER	ART (Includi	ng Author, Title, Date, Pertine	ent Pages, E	(C.)	8 (48%)	
XAMINER							
			DATE CONSIDER	RED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## ATTY. DOCKET NO. APPLN./SERIAL NO. LIST OF PRIOR ART CITED BY ALD-1D2C2 Continuation of Serial No. 10/251,756 **APPLICANT** APPLICANT(S) Larry Williams, Michael F. Young and (PTO-1449) **Hunter V. Jones** FILING DATE **GROUP** March 30, 2004 Unassigned U.S. PATENT DOCUMENTS **EXAMINER'S FILING INITIALS** PATENT NO. DATE NAME **CLASS SUBCLASS** DATE 5,739,640 4/14/98 Noble 315 119 5,668,537 9/16/97 Chansky et al. 340 625.06 4,406,995 9/27/83 May 340 539 4,580,099 4/1/86 Zetti 324 414 4,691,341 9/1/87 Knoble et al. 379 97 5,586,050 12/17/96 Makel et al. 364 509 5,598,456 1/28/97 Feinberg 379 42 5,487,088 1/23/96 Weltz et al. 315 276 5,748,104 5/5/98 Argyroudis et al. 340 870.11 FOREIGN PATENT DOCUMENTS **EXAMINER'S** Translation **INITIALS** PATENT NO. DATE COUNTRY **CLASS SUBCLASS** No 8.515,144 12/31/86 UK G08B 19/00 WO 90/04242 4/19/90 **WIPO G08G** 5/00 91870118.6 2/12/92 **EPO** H<sub>05</sub>B 37/03 KR 9,409,501 10/14/94 Korea H05B 37/02 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.